



# SEC

OFFICE of INVESTOR  
EDUCATION and ADVOCACY

## Researchers and Librarians-Easy Access to Selected Securities and Investor Information at the SEC

### Spotlight on Topics of Current Issues at the SEC

Hedge Funds, Sarbanes-Oxley, and more

<http://www.sec.gov/spotlight.shtml>

### Official Documents and Reports

#### Releases

Press Releases

<http://www.sec.gov/news/press.shtml>

Rulemaking

<http://www.sec.gov/rules.shtml>

No Action Letters, Staff Accounting Bulletins, Staff Legal Bulletins

<http://www.sec.gov/interps.shtml>

#### Reports

Special Studies

<http://www.sec.gov/news/studies.shtml>

Annual Report of the SEC

<http://www.sec.gov/about/annrep.shtml>

SEC Strategic Plan

<http://www.sec.gov/about/secstratplan1015.htm>

### Litigation, Decisions, Opinions, Enforcement Cases

Enforcement actions, opinions, briefs, trading suspensions, investors claims funds

<http://www.sec.gov/litigation.shtml>

Annual compilation of enforcement cases by category in the appendix of the SEC Annual Report

<http://www.sec.gov/about/annrep.shtml>

### Accountants and Auditors

Information on the SEC's website for accountants and auditors

<http://www.sec.gov/about/offices/oca.htm>

### Laws, Rules, Regulations

Rules and regulations are generally at volume 17 of the Code of Federal Regulations (17 CFR)

[www.fdsys.gov](http://www.fdsys.gov)

Federal Securities Laws

<http://www.sec.gov/about/laws.shtml>

Securities Lawyer's Deskbook

<http://www.law.uc.edu/CCL/index.html>

## Researching Federal Securities Laws

Researching the Federal Securities Laws Through the SEC Website  
<http://www.sec.gov/investor/pubs/securitieslaws.htm>

## Finding Company Information

EDGAR (SEC's Online Database of Company Reports)  
<http://www.sec.gov/edgar.shtml>

How to Use EDGAR  
<http://www.sec.gov/edgar/quickedgar.htm>

Corporate Reports, How to Get  
<http://www.sec.gov/answers/companyinfo.htm>

Info About Some Companies Not Available at SEC  
<http://www.sec.gov/answers/noinfo.htm>

Researching Public Companies Through EDGAR: A Guide for investors  
<http://www.sec.gov/investor/pubs/edgarguide.htm>

## Investor Information by Topic

[www.investor.gov](http://www.investor.gov)

Investor Education Publications  
[http://www.sec.gov/investor/pubs\\_subject.shtml](http://www.sec.gov/investor/pubs_subject.shtml)

Calculators for Investors  
<http://www.sec.gov/investor/tools.shtml>

Check Out Your Broker  
<http://www.sec.gov/investor/brokers.htm>

## How to File a Complaint with the SEC

SEC Center for Complaints and Enforcement Tips  
<http://www.sec.gov/complaint.shtml>

## Questions and Comments

<https://tts.sec.gov/oiea/QuestionsAndComments.html>

The Office of Investor Education and Advocacy has provided this information as a service to investors. It is neither a legal interpretation nor a statement of SEC policy. If you have questions concerning the meaning or application of a particular law or rule, please consult with an attorney who specializes in securities law.

